

1997-98 SESSION COMMITTEE HEARING RECORDS

Committee Name:

*Joint Committee on
Finance
(JC-Fi)*

Sample:

- Record of Comm. Proceedings
- 97hrAC-EdR_RCP_pt01a
- 97hrAC-EdR_RCP_pt01b
- 97hrAC-EdR_RCP_pt02

➤ Appointments ... Appt

➤

➤ Clearinghouse Rules ... CRule

➤

➤ Committee Hearings ... CH

➤

➤ Committee Reports ... CR

➤

➤ Executive Sessions ... ES

➤

➤ Hearing Records ... HR

➤

➤ Miscellaneous ... Misc

➤ 97hr_JC-Fi_Misc_pt04i_DPR

➤ Record of Comm. Proceedings ... RCP

➤

Joint Finance

16.515/16.505

14 Day Passive
Reviews

3/13/97 -

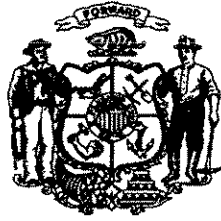
5/7/97

DOC 3/28/97

THE STATE OF WISCONSIN

SENATE CHAIR
BRIAN BURKE

Room LL 1 MLK
P.O. Box 7882
Madison, WI 53707-7882
Phone: (608) 266-8535



ASSEMBLY CHAIR
SCOTT JENSEN

315-N Capitol
P.O. Box 8952
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Phone: (608) 264-6970

JOINT COMMITTEE ON FINANCE

April 2, 1997

Secretary Mark Bugher
Department of Administration
101 East Wilson Street, 10th Floor
Madison, Wisconsin 53707-7925

Dear Secretary Bugher:

We are writing to inform you that the Joint Committee on Finance has reviewed your request dated March 12, 1997, pursuant to s. 16.515/16.505(2), Stats., pertaining to a request from the Department of Corrections.

No objections have been raised concerning this request. Accordingly, the request is approved.

Sincerely,

A handwritten signature in black ink, appearing to read 'Brian Burke'.

BRIAN BURKE
Senate Chair

A handwritten signature in black ink, appearing to read 'Scott Jensen'.

SCOTT JENSEN
Assembly Chair

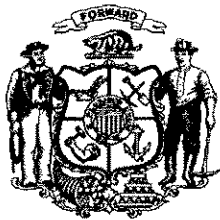
BB/SJ/jc

cc: Secretary Michael Sullivan, Department of Corrections
Ms. Linda Nelson, Department of Administration
Director Bob Lang, Legislative Fiscal Bureau
Members, Joint Committee on Finance

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JOINT COMMITTEE ON FINANCE

MEMORANDUM

To: Members
Joint Committee on Finance

From: Senator Brian Burke
Representative Scott Jensen
Co-Chairs, Joint Committee on Finance

Date: March 13, 1997

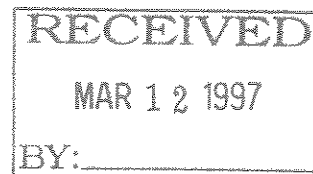
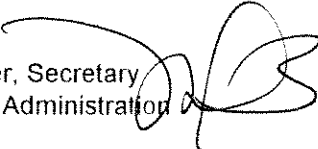
Re: Request Under s. 16.515/16.505(2), Stats.

Attached is a copy of a request from the Department of Administration dated March 12, 1997, pursuant to s. 16.515/16.505(2), Stats., pertaining to a request from the Department of Corrections.

Please review these materials and notify **Senator Burke's or Representative Jensen's** office no later than **Friday, March 28, 1997** if you have any concerns about the request or would like the Committee to meet formally to consider it.

Also, please contact us if you need further information.

BB:SJ:jc

CORRESPONDENCE MEMORANDUMSTATE OF WISCONSIN
Department of Administration**Date:** March 12, 1997**To:** The Honorable Brian Burke, Co-Chair
Joint Committee on FinanceThe Honorable Scott Jensen, Co-Chair
Joint Committee on Finance**From:** Mark D. Bugher, Secretary
Department of Administration **Subject:** S. 16.515/16.505(2) Requests

Enclosed are requests which have been approved by this department under the authority granted in s. 16.515 and s. 16.505(2). The explanation for each request is included in the attached materials. Listed below is a summary of each item:

<u>AGENCY</u>	<u>DESCRIPTION</u>	<u>1995-96</u> <u>AMOUNT</u>	<u>FTE</u>	<u>1996-97</u> <u>AMOUNT</u>	<u>FTE</u>
DOC 20.410(1)(kx)	Interagency and Intra- Agency Programs			\$104,700	4.50*

*Approve as project positions.

As provided in s. 16.515, this request will be approved on April 3, 1997, unless we are notified prior to that time that the Joint Committee on Finance wishes to meet in formal session about this request.

Please contact Linda Nelson at 266-3330, or the analyst who reviewed the request in the Division of Executive Budget and Finance, if you have any additional questions.

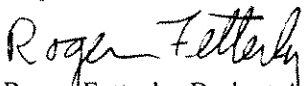
Attachments

CORRESPONDENCE MEMORANDUM

STATE OF WISCONSIN
Department of Administration

Date: March 4, 1997

To: Mark D. Bugher, Secretary
Department of Administration

From: 
Roger Fetterly, Budget Analyst
Division of Executive Budget and Finance

Subject: S. 16.515 Request from the Department of Corrections

REQUEST

The Department of Corrections (DOC) requests an increase in expenditure authority of \$303,643 PRS and 4.5 FTE PRS positions in FY97 in the Interagency and Intra-agency Programs appropriation (s. 20.410 (1) (kx)) to operate a new residential treatment program for inmates. Additionally DOC requests an increase in expenditure authority of \$303,643 PRS each year for FY98 and FY99.

REVENUE SOURCES FOR APPROPRIATION

The source of revenue deposited in appropriation s. 20.410 (1) (kx) for this request is a grant for Residential Substance Abuse Treatment for State Prisoners from the Office of Justice Assistance (OJA Grant #RS-96-ST-0001).

BACKGROUND

On January 22, 1997, DOC was awarded a grant of \$303,643 for each of the next 3 years from OJA to fund a program for inmates diagnosed with both alcohol or drug programming needs and mental illness.

During 1996, 76% of incarcerated male offenders and 69% of incarcerated females had a diagnosable substance abuse problem compared to 9.5% of the state's general population. The prevalence of mental health problems is also higher among incarcerated offenders than in the general population. Data show that approximately 14% of incarcerated offenders have moderate to high mental health treatment needs. It is estimated that between 3% and 11% of current inmates have co-existing substance abuse and psychiatric disorders.

The department has designed a program to stabilize, monitor and treat the substance and mental illness disorders of inmates. The initial program, which is expected to provide treatment for 50 inmates annually, will be 6 months long and will provide housing for 25 offenders each 6 months in a separate wing of a housing unit located at the Oshkosh Correctional Institution, a medium security facility. Upon completion of the initial 6 months of treatment, the inmates are placed at the minimum security Oakhill Correctional Institution where a community reintegration program prepares the offender for release.

ANALYSIS

DOC is requesting 4.5 FTE permanent positions and an increase in expenditure authority in FY97, FY98 and FY99 to cover the following costs to support the proposed drug and mental health treatment program funded by the OJA grant:

	FTE	FY97	FY98	FY99
Treatment Specialist	3.0	31,500	125,800	125,800
Program Assistant	0.5	3,300	13,100	13,100
Officer 3	1.0	13,100	37,600	37,600
Psychologist 4 Doctorate ¹		13,600	54,200	54,200
Nurse Clinician 2 ¹		11,200	44,900	44,900
Turnover		-1,000	-4,300	-4,300
Supplies and services		7,200	22,600	22,600
One-time funding		42,700		
Internal services		600	2,600	2,600
Unallotted reserve		1,000	8,000	8,000
Total	4.5	\$ 123,200	\$ 304,500	\$ 304,500

Note 1: These vacant positions are being reallocated, only expenditure authority is requested.

The grant totals \$910,929 over the 3 year period which exceeds the proposed expenditures of \$732,200 shown above for 27 months. It is anticipated that \$198,943 of the funding will be used to continue the program for 7 months in FY00 until the funds run out. In addition to the funding shown in the table, DOC is required to provide matching funds of \$101,214 each year from base sources and will also provide 12 FTE positions for security and other support for the treatment program.

If the supplies and services and one-time funding shown in the table above were to be funded on the same basis as new programs recommended by the Governor in the 1997-99 budget, the expenditure authority needed could be reduced by \$18,500 in FY97, \$14,000 in FY99 and \$10,000 in FY00. These savings could be carried over into FY00 to continue the program. Without these adjustments, the annual cost of the program exceeds the annual amount of the grant in the second and third year.

It should be noted that the department's request for \$303,643 in expenditure authority in FY97 exceeds their identified costs of \$123,200 for FY97.

Since this is a 3-year grant with the potential to expend the funds over a 3 to 4 year period, the PR positions requested could be approved as project positions for a maximum of 4 years rather than as permanent positions.

This program also has an evaluation component which will be conducted by a third party evaluator under contract with the federal government.

Summary of financial condition of appropriation 20.410(1)(kx). The following is a fund condition statement which includes reductions to supplies and services, unallotted reserves and one-time funding as identified above for the Interagency and Intra-agency Program appropriation:

	FY97	FY98	FY99	FY00
Opening balance, July 1	\$11,500	\$58,600	\$71,743	\$80,886
Revenues	1,953,600	1,953,600	1,953,600	1,953,600
Revenue from this grant	<u>151,800</u>	<u>303,643</u>	<u>303,643</u>	<u>151,800</u>
Total Revenue	\$2,116,900	\$2,315,843	\$2,328,986	\$2,186,286
Expenditures	1,953,600	1,953,600	1,953,600	1,953,600
This 16.515 request	<u>104,700</u>	<u>290,500</u>	<u>294,500</u>	<u>221,229</u>
Total expenditures	\$2,058,300	2,244,100	2,248,100	\$2,174,829
Closing balance, June 30	\$58,600	\$71,743	\$80,886	\$11,457

SUMMARY

DOC requests both a one-time and a continuing increase in PRS expenditure authority and 4.5 FTE PRS positions to operate a drug and mental health treatment program for dually diagnosed inmates which will be funded by a 3-year grant from OJA.

The cost of operating the program can be reduced by reducing funding for supplies and services and one-time purchases to a level comparable to funding in the biennial budget. The 4.5 PRS positions requested should be authorized as project positions.

RECOMMENDATION

Approve an increase in expenditure authority of \$104,700 PRS in FY97 and 4.5 FTE PRS project positions for 4 years. Require DOC to report the results of the evaluations to the Department of Administration and the Legislature.

Funding approved will be annualized for FY98 and FY99 and included in the s. 16.517 adjustments submitted within 30 days after the effective date of the 1997-99 Biennial Budget.

Tommy G. Thompson
Governor

Michael J. Sullivan
Secretary



Mailing Address
149 East Wilson Street
Post Office Box 7925
Madison, WI 53707-7925
Telephone (608) 266-2471

State of Wisconsin Department of Corrections

January 29, 1997

MEMORANDUM

TO: Mark D. Bugher, Secretary
Department of Administration

FROM: Michael J. Sullivan, Secretary
Department of Corrections *M. J. Sullivan*

SUBJECT: S. 16.505(2) and S. 16.515(1)
Request for Appropriation 20.410(1)(kx),
Numeric Appropriation 167, Inter/Intra Agency Programs

On January 22, 1997, the Department of Corrections was awarded a grant of \$303,643, from the Wisconsin Office of Justice Assistance for the Residential Substance Abuse Treatment for State Prisoners (OJA Grant #RS-96-ST-0001). The Department of Corrections requests permanent position authorization for 4.5 new FTE and redeployment of 2.0 vacant appropriation (1)(kx) positions to staff a new residential program for inmates diagnosed with both alcohol or drug programming needs and a mental illness. In addition the Department requests increased expenditure authority in S. 20.410(1)(kx), of \$303,643 in FY97, FY 98, and FY 99.

Background

During 1996, 76% of incarcerated male offenders and 69% of incarcerated females had a diagnosable substance abuse problem compared to 9.5% of the state's general population. The prevalence of mental health problems is also higher among incarcerated offenders than in the general population. Wisconsin data shows that approximately 14% of incarcerated offenders have moderate to high mental health treatment needs. It is estimated that between 3% and 11% of current Wisconsin inmates have co-existing substance abuse and psychiatric disorders.

Although this dually diagnosed population represents only a small portion of the total incarcerated population, they demand disproportionate attention and fiscal resources because of their medical and clinical treatment needs and because of the security risks they present. The absence of treatment programs specifically targeted and designed for the dually diagnosed offender has negatively impacted the ability of the Department of Corrections to provide academic, vocational or other rehabilitative services to these offenders.

Due to the need to stabilize this population and the current unavailability of a specialized treatment program, dually diagnosed offenders are more likely to experience longer stays in the Assessment and Evaluation Unit at Dodge Correctional Institution. Many are ultimately housed in special management units or exhibit behaviors warranting placement in segregation units. Consequently, a majority of the mental health resources allocated for this population are used to provide crisis intervention services. There is little chance of these inmates progressing to a lower security level where most inmate programming occurs, thus most are not well prepared for re-entry into the community.

Program Design

This program is designed to stabilize, monitor, and treat the substance abuse and mental illness disorders of the offenders. The initial program will be 6 months long and will provide unit-based housing for 25 offenders in a separate wing of a housing unit located at the Oshkosh Correctional Institution (OSCI), a medium security correctional facility. It is expected that a total of 50 inmates will be treated per year. Once the offender has improved and is ready for a lower security level, it will also provide a community reintegration segment at Oakhill Correctional Institution, a minimum security correctional facility.

Staffing for the program includes redeployment of several positions from within OSCI proper to the portion of a housing unit dedicated to the dually diagnosed population: 1.0 Corrections Unit Supervisor, 5.0 Correctional Officer 3s, 5.0 Officer 1-2s, 1.0 Recreation Leader, and 1.0 Teacher. New position authority is requested for 4.50 permanent FTE: 0.5 Program Assistant 1, 1.0 Officer 3, and 3.0 Treatment Specialist 1s. In addition the Department requests redeployment of vacant, unfunded, existing position, #312861 and #312951 for 1.0 Nurse Clinician 2, and 1.0 Psychologist 4 - Doctorate.

Program Funding

The Department of Corrections has been awarded \$303,643 in grant funds. Total salary and fringe benefits (\$231,424) for the 4.5 FTE requested and for the 2.0 FTE currently vacant and unfunded will be paid out of the grant funds. In addition, \$72,219 will be spent on supplies and services from grant funds.

The Department is required to provide \$101,214 in matching funds each year. This year the Department will meet the match requirement in the following manner:

Contract psychiatrist - \$100/hr, 8hr/wk, 42 weeks	\$33,600
Contract computer programmer - \$40/hr, 160 hrs/year	6,400
Staff supplies	16,700
Travel/training	27,700
Addt. medications and blood tests	18,000
Addt. urinalysis testing and supplies	3,000
Program equipment	6,398
Office Furniture	7,975
Program materials	8,870
	\$128,643

A summary of the fund condition for FY97 for 20.410(1)(kx), Inter/Intra Agency Programs, is attached.

Summary

The Department of Corrections requests a permanent increase of 4.5 new permanent FTEs, redeployment of 2.0 vacant, unfunded program revenue FTE, and \$303,643 in increased expenditure authority in 20.410(1)(kx) to staff a new residential substance abuse treatment program for inmates diagnosed with both alcohol or drug programming needs and a mental illness.

cc: Ave M. Bie, Dpty. Sec.	Scott Peterson, Ex. Asst.
Ken Sondalle, DAI	Terri Landwehr, DPPM
Pam Brandon, DMS	Cindy Schoenike, DAI
Dick Verhagen, DAI	Roger Fetterly, DOA
Mary Cassidy, BoB	Cathy Halpin, BoB
Dan Steeger, Fiscal	Marge Attaway, BPHR

Department of Corrections
Fund Condition

20.410(1)(kx)
Numeric Appropriation 167-Inter/Intra Agency Programs

Opening Cash Balance	11,500
FY 97 Revenues	1,856,000
Revenues from this 16.515	<u>303,643</u>
Total Revenues Projected	2,159,600
FY 97 Expenditures	1,856,000
Expenditures from this 16.515	<u>303,643</u>
Total Expenditures Projected	2,159,600
Closing Cash Balance	\$11,500
Current FY 1997 Expenditure Authority	\$1,856,000
Increased Expenditure Authority Requested	<u>303,643</u>
Total FY 97 Expenditure Authority	\$2,159,600